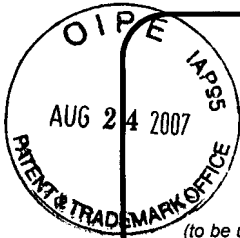


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# TRANSMITTAL FORM

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Application Number

10/737,389

Filing Date

Dec. 16, 2003

First Named Inventor

En-Yi Liao

Art Unit

2141

Examiner Name

Serrao, Ranodhi N.

Attorney Docket Number

10033.000400

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☒ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/  
Incomplete Application☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board  
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### Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

OKAMOTO &amp; BENEDICTO LLP

Signature

Printed Name

Patrick D. Benedicto

Date

August 21, 2007

Reg.  
No.

40,909

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

En-Yi Liao

Serial No. 10/737,389

Examiner: Serrao, Ranodhi N.

Filed: December 16, 2003

Art Unit: 2141

Title: Technique for Intercepting Data in a Peer-to-Peer Network

Atty. Dkt. No.: 10033.000400

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

**Under 37 C.F.R. §1.56 and §§1.97-98**

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

- ☐ 37 CFR §1.97(b).
- ☒ 37 CFR §1.97(c). If so, then either:
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
  - OR
  - ☒ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
- ☐ 37 CFR § 1.97(d). If so, then both:
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that either:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
  - AND
  - ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

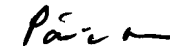
Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

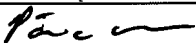
En-Yi Liao

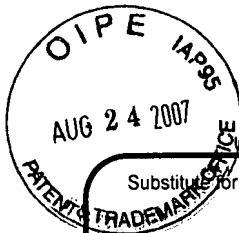
Dated: August 21, 2007

By:



Patrick D. Benedicto  
Attorney For Applicant(s)  
Reg. No. 40,909  
OKAMOTO & BENEDICTO LLP  
P.O. Box 641330  
San Jose, CA 95164-1330  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/737,389
Filing Date	Dec. 16, 2003
First Named Inventor	En-Yi Liao
Art Unit	2141
Examiner Name	Serrao, Ranodhi N.
Attorney Docket Number	10033.000400

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	J	US- 6,076,114	06/13/2000	Wesley, Ajamu A.	
	K	US- 6,393,487	05/21/2002	Boucher, et al.	
	L	US- 6,519,263	02/11/2003	Huth, Hans-Peter	
	M	US- 6,654,373	11/25/2003	Maher, et al.	
	N	US- 6,587,874	07/01/2003	Golla, et al.	
	O	US- 6,678,734	01 /13/2004	Haatainen, et al.	
	P	US- 6,779,004	08/17/2004	Zintel	
	Q	US- 6,892,230	05/10/2005	Gu, et al.	
	R	US- 7,028,335	04/11/2006	Borella, et al.	
	S	US- 2002/0147780 A1	10/10/2002	Liu, et al.	
	T	US- 2002/0178381 A1	11/28/2002	Lee, et al.	
	U	US- 2003/0021280 A1	0130/2003	Makinson, et al.	
	V	US- 2003/0055962 A2	0320/2003	Freund, et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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